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On The Fitting Ideals of Finitely Generated Modules

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On The Fitting Ideals of Finitely Generated Modules

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Abstract

In this master thesis, we will show some basic properties of the *Fitting ideals* of finitely generated modules and how to compute these ideals. Let E be a finitely generated R -module with the generators $e = (e_1, e_2, \dots, e_q)$. If $c_1e_1 + c_2e_2 + \dots + c_qe_q = 0$, then we call (c_1, c_2, \dots, c_q) a *relation* between the generators e_1, e_2, \dots, e_q . Suppose M is a matrix rows of which are relations between e_1, e_2, \dots, e_q . For any $0 \leq n \leq q$, the n th Fitting ideal of E is the ideal of R which is generated by all $(q - n) \times (q - n)$ subdeterminants of all such M . We prove that the n th Fitting ideal of E is not dependent on the particular sequence of generators that is used to compute it. In other words, the n th Fitting ideal is an invariant of the module E . So Fitting ideals are also called *Fitting invariants*. When E has a finite presentation, or equivalently is finitely presented, we have another approach to compute these Fitting ideals.

1 Preliminaries

Definition 1.1. Let R be a commutative ring with 1. A *multiplicatively closed subset* of R is a subset S of R such that $1 \in S$ and S is closed under multiplication. In other words, S is a subsemigroup of the multiplicative semigroup of R . We define a relation \equiv on $R \times S$ as follows:

$$(a, s) \equiv (b, t) \Leftrightarrow u(ta - sb) = 0 \text{ for some } u \in S.$$

Then we have an equivalence relation. Let a/s denote the equivalence class of (a, s) , and let $S^{-1}R$ denote the set of equivalence classes. We put a ring

structure on $S^{-1}R$ by defining addition and multiplication of these fractions a/s as follows:

$$\begin{aligned}(a/s) + (b/t) &= (ta + sb)/st, \\ (a/s)(b/t) &= ab/st.\end{aligned}$$

The commutative ring $S^{-1}R$ with 1 is called the ring of fractions of R with respect to S . Furthermore, $S^{-1}R$ is a non-trivial ring if and only if $0 \notin S$.

If I is an ideal of R , then we define

$$S^{-1}I = \{a/s \mid a \in I, s \in S\},$$

which is an ideal of $S^{-1}R$.

Let $A = (a_{ij})$ be a $p \times q$ matrix with entries in R and let S a multiplicative closed subset of R . We define

$$S^{-1}A = (a_{ij}/1)$$

to be a $p \times q$ matrix with entries in $S^{-1}R$.

The construction of $S^{-1}R$ can be carried through with an R -module E in place of the ring R . We define a relation \equiv on $E \times S$ as follows:

$$(e, s) \equiv (f, t) \Leftrightarrow u(te - sf) = 0 \text{ for some } u \in S.$$

As before, this is an equivalence relation. Let e/s denote the equivalence class of (e, s) . Let $S^{-1}E$ denote the set of such fractions and make $S^{-1}E$ into an $S^{-1}R$ -module with

$$\begin{aligned}(e/s) + (f/t) &= (te + sf)/st, \\ (r/t)(e/s) &= re/ts.\end{aligned}$$

The following are some properties of modules of fractions. Readers can find the proofs in [9].

Proposition 1.1. *Let R be a commutative ring with 1 and S a multiplicatively closed subset of R .*

1. *Let $g : E \rightarrow M$ be a homomorphism of R -modules. Then there is a homomorphism*

$$S^{-1}g : S^{-1}E \rightarrow S^{-1}M$$

of $S^{-1}R$ -modules such that

$$S^{-1}g(e/s) = g(e)/s.$$

2. Let $E \xrightarrow{g} M \xrightarrow{h} N$ be an exact sequence of R -modules. Then

$$S^{-1}E \xrightarrow{S^{-1}g} S^{-1}M \xrightarrow{S^{-1}h} S^{-1}N$$

is an exact sequence of $S^{-1}R$ -modules.

3. Let E be an R -module generated by the elements of $\{e_i\}_{i \in I}$. Then $S^{-1}E$ is an $S^{-1}R$ -module generated by elements of $\{e_i/1\}_{i \in I}$.

4. Let F be a free R -module with a basis $\{e_i\}_{i \in I}$. Let $0 \notin S$. Then $S^{-1}F$ is a free $S^{-1}R$ -module with basis $\{e_i/1\}_{i \in I}$. In particular, if F is a finite free R -module, then $S^{-1}F$ is a finite free $S^{-1}R$ -module.

Definition 1.2. Let E be a finitely generated R -module with generators e_1, e_2, \dots, e_q . A sequence $(a_1, a_2, \dots, a_q) \in R^q$ is called a *relation* between e_1, e_2, \dots, e_q if

$$a_1e_1 + a_2e_2 + \dots + a_qe_q = 0.$$

Clearly the set of all such relations form an R -submodule of R^q , and it is called the *module of relations* between e_1, e_2, \dots, e_q .

Definition 1.3. An R -module E is said to be *finitely presented* if it is possible to find a finite system e_1, e_2, \dots, e_q of generators such that the module of relations between these generators is finitely generated.

Theorem 1.2. *If E is finitely presented, then whatever finite system of generators we may choose the corresponding module of relations is also finitely generated.*

Proof. Since E is finitely presented, there is a finite system x_1, x_2, \dots, x_m of generators such that the module of relations between these generators is finitely generated. Suppose y_1, y_2, \dots, y_n is any finite system of generators of E . Let F be a free R -module of finite rank m and $\{f_1, f_2, \dots, f_m\}$ a basis for F . Define an epimorphism $\phi : F \rightarrow E$ so that $\phi(f_i) = x_i$ for all $1 \leq i \leq m$ and put $K = \text{Ker}\phi$. We now have an exact sequence

$$0 \longrightarrow K \longrightarrow F \xrightarrow{\phi} E \longrightarrow 0.$$

Note that if $a_1, a_2, \dots, a_m \in R$ then

$$\begin{aligned} a_1f_1 + a_2f_2 + \dots + a_mf_m &\in K \\ \Leftrightarrow (a_1, a_2, \dots, a_m) &\text{ is a relation between } x_1, x_2, \dots, x_m. \end{aligned}$$

It follows that K is isomorphic to the module of relations between x_1, x_2, \dots, x_m . In a similar manner we can construct an exact sequence

$$0 \longrightarrow K' \longrightarrow F' \xrightarrow{\phi'} E \longrightarrow 0,$$

where F' is a finite free module with rank n and K' is isomorphic to the module of relations between y_1, y_2, \dots, y_n .

By schanuel's lemma, we have $K' \oplus F \cong K \oplus F'$. Since K is finitely generated, $K' \oplus F \cong K \oplus F'$ are also finitely generated. It follows that K' , which is a direct summand of finitely generated R -module, must be finitely generated as well. So the module of relations between y_1, y_2, \dots, y_n is finitely generated. \square

Definition 1.4. A *finite presentation* of an R -module E is an exact sequence $G \longrightarrow F \longrightarrow E \longrightarrow 0$, where F and G are finite free R -modules.

Theorem 1.3. *An R -module E is finitely presented if and only if it has a finite presentation.*

Proof. Suppose E is finitely presented. From the proof of Theorem 1.2, we can define an epimorphism $\phi : F \rightarrow E$ such that F is a finite free R -module and $\text{Ker}\phi$, which is isomorphic to the module of relations, is finitely generated. Since $\text{Ker}\phi$ is finitely generated, we can define an epimorphism $\psi : G \rightarrow \text{Ker}\phi$ with a finite free R -module G , and hence $\text{Im}\psi = \text{Ker}\phi$. Thus we have an exact sequence

$$G \xrightarrow{\psi} F \xrightarrow{\phi} E \longrightarrow 0,$$

where G and F are finite free R -modules.

Conversely, suppose $G \xrightarrow{\psi} F \xrightarrow{\phi} E \longrightarrow 0$ is an exact sequence with finite free R -modules F and G . Let $\{f_1, f_2, \dots, f_m\}$ be a basis for F , then E can be generated by $\phi(f_1), \phi(f_2), \dots, \phi(f_m)$. Since G is a finite free R -module, $\text{Ker}\phi = \text{Im}\psi$ is finitely generated. So the module of relations between $\phi(f_1), \phi(f_2), \dots, \phi(f_m)$ is isomorphic to $\text{Ker}\phi$ and hence finitely generated. \square

2 Fitting Ideals

In this section, R is denoted to be a commutative ring with 1.

2.1 Determinantal Ideals

We first recall that if $M = (m_{ij})$ is a $n \times n$ matrix, then the determinant of M is

$$\det(M) = \sum_{\sigma \in S_n} \left(\operatorname{sgn}(\sigma) \prod_{k=1}^n m_{k\sigma(k)} \right), \quad (2.1)$$

where S_n is the symmetric group of degree n , and

$$\operatorname{sgn}(\sigma) = \begin{cases} +1 & \text{if } \sigma \text{ is an even permutation;} \\ -1 & \text{if } \sigma \text{ is an odd permutation.} \end{cases}$$

It is possible to expand a determinant along a row or column. For any row i ,

$$\det(M) = \sum_{j=1}^n (-1)^{i+j} m_{ij} \det(\widetilde{M}_{ij}), \quad (2.2)$$

where \widetilde{M}_{ij} is the $(n-1) \times (n-1)$ matrix obtained from M by deleting row i and column j .

Definition 2.1. Let $A = (a_{ij})$ be a $p \times q$ matrix with entries in R . Let $n \in \mathbb{N}$. We define

$$S_n^p = \{S = (s_1, s_2, \dots, s_n) \in \mathbb{N}^n \mid 1 \leq s_1 < s_2 < \dots < s_n \leq p\}.$$

If $n > p$, then $S_n^p = \emptyset$. If $n \leq p$, then S_n^p contains $\binom{p}{n}$ members. Suppose $1 \leq n \leq \min(p, q)$, $S = (s_1, s_2, \dots, s_n) \in S_n^p$ and $T = (t_1, t_2, \dots, t_n) \in S_n^q$. We define

$$A_{ST}^{(n)} = \begin{vmatrix} a_{s_1 t_1} & a_{s_1 t_2} & \cdots & a_{s_1 t_n} \\ a_{s_2 t_1} & a_{s_2 t_2} & \cdots & a_{s_2 t_n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{s_n t_1} & a_{s_n t_2} & \cdots & a_{s_n t_n} \end{vmatrix}$$

to be the determinant of the $n \times n$ submatrix of A . With S and T ranging over S_n^p and S_n^q , respectively, we may view $A_{ST}^{(n)}$ as the ST -component of a $S_n^p \times S_n^q$ matrix $A^{(n)}$, which is called the n -th exterior power of A . For $n = 0$, we define $A^{(0)}$ to be the 1×1 matrix whose single entry is the identity element of R . We also note that $A^{(1)} = A$.

Lemma 2.1. Let A be a $p \times q$ matrix and B a $q \times r$ matrix. Let $0 \leq n \leq \min(p, q, r)$. Then

$$(AB)^{(n)} = A^{(n)}B^{(n)}.$$

Proof. When $n = 0$, by definition, $(AB)^{(0)} = (1)_{1 \times 1} = A^{(0)}B^{(0)}$. When $1 \leq n \leq \min(p, q, r)$, let $A = (a_{ij})_{p \times q}$, $B = (b_{kl})_{q \times r}$, and $C = AB = (c_{uv})_{p \times r}$. For any $S = (s_1, s_2, \dots, s_n) \in S_n^p$ and $T = (t_1, t_2, \dots, t_n) \in S_n^r$,

$$\begin{aligned} C_{ST}^{(n)} &= \begin{vmatrix} c_{s_1 t_1} & c_{s_1 t_2} & \cdots & c_{s_1 t_n} \\ c_{s_2 t_1} & c_{s_2 t_2} & \cdots & c_{s_2 t_n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{s_n t_1} & c_{s_n t_2} & \cdots & c_{s_n t_n} \end{vmatrix} \\ &= \begin{vmatrix} \sum_{\alpha_1=1}^q a_{s_1 \alpha_1} b_{\alpha_1 t_1} & \sum_{\alpha_2=1}^q a_{s_1 \alpha_2} b_{\alpha_2 t_2} & \cdots & \sum_{\alpha_n=1}^q a_{s_1 \alpha_n} b_{\alpha_n t_n} \\ \sum_{\alpha_1=1}^q a_{s_2 \alpha_1} b_{\alpha_1 t_1} & \sum_{\alpha_2=1}^q a_{s_2 \alpha_2} b_{\alpha_2 t_2} & \cdots & \sum_{\alpha_n=1}^q a_{s_2 \alpha_n} b_{\alpha_n t_n} \\ \vdots & \vdots & \ddots & \vdots \\ \sum_{\alpha_1=1}^q a_{s_n \alpha_1} b_{\alpha_1 t_1} & \sum_{\alpha_2=1}^q a_{s_n \alpha_2} b_{\alpha_2 t_2} & \cdots & \sum_{\alpha_n=1}^q a_{s_n \alpha_n} b_{\alpha_n t_n} \end{vmatrix}, \end{aligned}$$

whence

$$C_{ST}^{(n)} = \sum_{1 \leq \alpha_1, \alpha_2, \dots, \alpha_n \leq q} b_{\alpha_1 t_1} b_{\alpha_2 t_2} \cdots b_{\alpha_n t_n} \begin{vmatrix} a_{s_1 \alpha_1} & a_{s_1 \alpha_2} & \cdots & a_{s_1 \alpha_n} \\ a_{s_2 \alpha_1} & a_{s_2 \alpha_2} & \cdots & a_{s_2 \alpha_n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{s_n \alpha_1} & a_{s_n \alpha_2} & \cdots & a_{s_n \alpha_n} \end{vmatrix}. \quad (2.3)$$

However, the determinants in (2.3) vanishes, unless $\alpha_1, \alpha_2, \dots, \alpha_n$ are distinct. Moreover, for any $K = (k_1, k_2, \dots, k_n) \in S_n^q$, if $(\alpha_1, \alpha_2, \dots, \alpha_n)$ is a permutation of $\{k_1, k_2, \dots, k_n\}$, i.e. there is a σ in the symmetric group S_n such that $\alpha_i = k_{\sigma(i)}$ for $i = 1, 2, \dots, n$, then

$$\begin{vmatrix} a_{s_1 \alpha_1} & a_{s_1 \alpha_2} & \cdots & a_{s_1 \alpha_n} \\ a_{s_2 \alpha_1} & a_{s_2 \alpha_2} & \cdots & a_{s_2 \alpha_n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{s_n \alpha_1} & a_{s_n \alpha_2} & \cdots & a_{s_n \alpha_n} \end{vmatrix} = \text{sgn}_{\alpha_1 \alpha_2 \dots \alpha_n} A_{SK}^{(n)},$$

where

$$= \begin{cases} +1 & \text{if } \{\alpha_1, \alpha_2, \dots, \alpha_n\} \text{ is an even permutation of } \{k_1, k_2, \dots, k_n\}; \\ -1 & \text{if } \{\alpha_1, \alpha_2, \dots, \alpha_n\} \text{ is an odd permutation of } \{k_1, k_2, \dots, k_n\}. \end{cases}$$

For this K , the contribution to the sum on the right hand side of (2.3) from all the permutations of $\{k_1, k_2, \dots, k_n\}$ is equal to $A_{SK}^{(n)} B_{KT}^{(n)}$. Indeed,

$$\begin{aligned} C_{ST}^{(n)} &= \sum_{K \in S_n^q} \left(\sum_{\sigma \in S_n} \left(b_{k_{\sigma(1)}t_1} b_{k_{\sigma(2)}t_2} \cdots b_{k_{\sigma(n)}t_n} \operatorname{sgn}(\sigma) A_{SK}^{(n)} \right) \right) \\ &= \sum_{K \in S_n^q} A_{SK}^{(n)} \left(\sum_{\sigma \in S_n} \operatorname{sgn}(\sigma) \prod_{i=1}^n b_{k_{\sigma(i)}t_i} \right) \\ &= \sum_{K \in S_n^q} A_{SK}^{(n)} B_{KT}^{(n)}. \end{aligned}$$

and we have

$$(AB)^{(n)} = A^{(n)} B^{(n)}.$$

□

Definition 2.2. Let A be a $p \times q$ matrix and $n \geq 0$. We define the *determinantal ideal* $I_n(A)$ of A to be the ideal generated by all entries in $A^{(n)}$, or equivalently by all $n \times n$ subdeterminants of A . Especially we define

$$I_n(A) = 0 \text{ for } n > \min(p, q). \quad (2.4)$$

By definition,

$$\begin{aligned} I_0(A) &= R, \\ I_1(A) &= \text{the ideal generated by all entries in } A. \end{aligned}$$

Furthermore, by (2.2), we have

$$R = I_0(A) \supseteq I_1(A) \supseteq I_2(A) \supseteq \cdots. \quad (2.5)$$

Let B be a $q \times r$ matrix, then, by Lemma 2.1, we also have

$$I_n(AB) \subseteq I_n(A) \cap I_n(B), \quad (2.6)$$

which extends to a product of any finite number of matrices.

Proposition 2.2. Let $A = (a_{ij})$ be a $p \times q$ matrix with entries in R and S a multiplicatively closed subset of R . Suppose $S^{-1}A = (a_{ij}/1)$ is a $p \times q$ matrix with entries in $S^{-1}R$. If now $J \in S_n^p$ and $K \in S_n^q$, then

$$(S^{-1}A)_{JK}^{(n)} = A_{JK}^{(n)}/1,$$

and hence

$$I_n(S^{-1}A) = S^{-1}I_n(A). \quad (2.7)$$

Definition 2.3. The $p \times q$ matrix A' is said to be *equivalent* to the $p \times q$ matrix A if there exists invertible $p \times p$ matrix U and invertible $q \times q$ matrix V such that $A' = UAV$. Clearly it is an equivalence relation.

Lemma 2.3. If A and B are equivalent $p \times q$ matrices, then

$$I_n(A) = I_n(B) \quad \text{for all } n \geq 0.$$

Proof. Since A and B are equivalent, there exists invertible $p \times p$ matrix and $q \times q$ matrix V such that $A = UB$ and $B = U^{-1}AV^{-1}$. By (2.6), we have

$$I_n(A) \subseteq I_n(B) \text{ and } I_n(B) \subseteq I_n(A).$$

Thus $I_n(A) = I_n(B)$ for all $n \geq 0$. □

In fact, if R is a PID, then the converse of Lemma 2.3 holds.

Corollary 2.4. Let the matrix A' be obtained by means of elementary row or column operations. Then A' and A are equivalent and hence they have the same determinantal ideals.

Lemma 2.5. Let A be a $p \times q$ matrix, B a $q \times p$ matrix, and $ABA = A$. Then there exists an idempotent γ such that $I_1(A) = R\gamma$.

Proof. Let $A = (a_{ij})$, $C = BA = (c_{kl})$, and $I_1(C)$ be the ideal generated by all c_{kl} . We first prove that there exists $\gamma \in I_1(C)$ such that $(1 - \gamma)I_1(A) = 0$. Since $AC = A$, we have $A(I - C) = 0$, where I is the $q \times q$ identity matrix. Hence $(a_{i1}, a_{i2}, \dots, a_{iq})(I - C) = 0$ for $i = 1, 2, \dots, p$. For each $i = 1, 2, \dots, p$, let D_{ij} be the matrix obtained by taking the $q \times q$ identity matrix and replacing its j th row by $(a_{i1}, a_{i2}, \dots, a_{iq})$. Then $D_{ij}(I - C)$ has a row of zeros and therefore $\det(D_{ij}(I - C)) = 0$. Hence

$$a_{ij}\det(I - C) = \det(D_{ij})\det(I - C) = \det(D_{ij}(I - C)) = 0 \quad \text{for all } i, j,$$

and $\det(I - C)I_1(A) = 0$. Since $\det(I - C) = 1 - \gamma$ for a suitable $\gamma \in I_1(C)$, we have $(1 - \gamma)I_1(A) = 0$.

Moreover, $C = BA$ implies $I_1(C) \subseteq I_1(A)$, so $\gamma \in I_1(A)$ and therefore $(1 - \gamma)\gamma = 0$. Thus γ is an idempotent and $R\gamma \subseteq I_1(A)$. For any $x \in I_1(A)$, we have $(1 - \gamma)x = 0$, so $x = \gamma x$ and $x \in R\gamma$. Thus $I_1(A) = R\gamma$. \square

Theorem 2.6. *Let A be a $p \times q$ matrix, B a $q \times p$ matrix, and $ABA = A$. Then for any $n \geq 0$, $I_n(A)$ is generated by an idempotent. Hence if R has no nontrivial idempotents, then either $I_n(A) = 0$ or $I_n(A) = R$.*

Proof. When $n = 0$, $I_n(A) = R$ is generated by 1, which is an idempotent. For $n > \min(p, q)$, $I_n(A) = 0$ is generated by 0, which is an idempotent. For $1 \leq n \leq \min(p, q)$, we have $A^{(n)}B^{(n)}A^{(n)} = A^{(n)}$ by Lemma 2.1. Since $I_n(A)$ is the ideal generated by all entries in $A^{(n)}$, the desired result therefore follows from Lemma 2.5. \square

In fact, if R has no non-trivial idempotents and A is a $p \times q$ matrix, then the following two statements are equivalent:

1. *There is a $p \times q$ matrix B such that $ABA = A$.*
2. *For each $n \geq 0$, $I_n(A)$ is either zero or the ring R itself.*

Readers can find the proof on page 6 in [9].

Definition 2.4. Let E be a finitely generated R -module. Suppose e_1, e_2, \dots, e_q are elements which generate E . We use e to denote the sequence (e_1, e_2, \dots, e_q) and say that E has the generators $e = (e_1, e_2, \dots, e_q)$. Now let $n \geq 0$ be an integer. We define the *determinantal ideal* of e to be

$$I_n(e|E) = \sum_A I_n(A), \quad (2.8)$$

where

1. A , which is called a matrix of relations between e_1, e_2, \dots, e_q , stands for an arbitrary (finite) matrix with q columns each row of which is a relation between e_1, e_2, \dots, e_q , and
2. $I_n(A)$ denotes the n th determinantal ideal of A .

Accordingly $I_n(e|E)$ is the ideal generated by all $n \times n$ subdeterminants of all matrices of relations between e_1, e_2, \dots, e_q . Note that, by (2.4) and (2.5), we have

$$R = I_0(e|E) \supseteq I_1(e|E) \supseteq I_2(e|E) \supseteq \dots, \quad (2.9)$$

and

$$I_n(e|E) = 0 \quad \text{if } n > q. \quad (2.10)$$

There may be infinitely many matrices A which contribute to the sum in (2.8). The following will show that how we can reduce the number.

Lemma 2.7. *Let E be a finitely presented R -module with the generators $e = (e_1, e_2, \dots, e_q)$. There is a matrix M such that rows of it can generate the module of relations between e_1, e_2, \dots, e_q , and*

$$I_n(e|E) = \sum_A I_n(A) = I_n(M) \quad \text{for all } n \geq 0.$$

Proof. Since the module of relations between e_1, e_2, \dots, e_q is finitely generated, we define M to be the matrix rows of which are the finite many generators. It is clear that $I_n(M) \subseteq \sum_A I_n(A) = I_n(e|E)$.

On the other hand, for any matrix A of relations between e_1, e_2, \dots, e_q , each row of A is an R -linear combination of the rows of M . Hence we can find a matrix P such that $A = PM$. By (2.6), we have $I_n(A) \subseteq I_n(M)$ for all A . Thus $\sum_A I_n(A) \subseteq I_n(M)$ and therefore $I_n(e|E) = \sum_A I_n(A) = I_n(M)$. \square

Corollary 2.8. *Let R be a Noetherian ring and E a finitely generated R -module with generators $e = (e_1, e_2, \dots, e_q)$. Then there is a matrix M rows of which can generate the module of relations between e_1, e_2, \dots, e_q and*

$$I_n(e|E) = I_n(M) \quad \text{for all } n \geq 0.$$

Proof. Since R is a Noetherian ring and R^q is an R -module, we know that R^q is a Noetherian R -module. Hence the module of relations between e_1, e_2, \dots, e_q , which is a submodule of R^q , is also finitely generated, and therefore E is a finitely presented R -module. Thus, by Lemma 2.7, we have the result. \square

Lemma 2.9. *Let E be a finitely generated R -module with the generators $e = (e_1, e_2, \dots, e_q)$. Let S be a multiplicatively closed subset of R and let $0 \notin S$. Suppose $e/1 = (e_1/1, e_2/1, \dots, e_q/1)$. Then*

$$I_n(e/1 | S^{-1}E) = S^{-1}I_n(e|E) \quad \text{for all } n \geq 0.$$

Proof. We can construct an exact sequence

$$G \xrightarrow{\psi} F \xrightarrow{\phi} E \longrightarrow 0, \quad (2.11)$$

where

1. F is a free R -module with a basis $\{f_1, f_2, \dots, f_q\}$,
2. for $1 \leq k \leq n$, we have $\phi(f_k) = e_k$,
3. G is a free R -module with a basis $\{g_j\}_{j \in J}$.

Let $\psi(g_j) = a_{j1}f_1 + a_{j2}f_2 + \dots + a_{jq}f_q$ for suitable $a_{jk} \in R$. Since

$$\phi(\psi(g_j)) = a_{j1}e_1 + a_{j2}e_2 + \dots + a_{jq}e_q = 0,$$

$(a_{j1}, a_{j2}, \dots, a_{jq})$ belongs to the module of relations between e_1, e_2, \dots, e_q . Furthermore, for any (c_1, c_2, \dots, c_q) belongs to the module of relations between e_1, e_2, \dots, e_q , we have $\sum_{i=1}^q c_i e_i = 0$, and hence $\sum_{k=1}^q c_k f_k \in \text{Ker}\phi = \text{Im}\psi$. So there exists $g \in G$ such that $\psi(g) = \sum_{k=1}^q c_k f_k$. Suppose $g = \sum_{j=1}^n r_j g_j$, then

$$\begin{aligned} \sum_{k=1}^q c_k f_k &= \psi(g) = \sum_{j=1}^n r_j \psi(g_j) \\ &= \sum_{j=1}^n r_j \left(\sum_{k=1}^q a_{jk} f_k \right) = \sum_{k=1}^q \left(\sum_{j=1}^n r_j a_{jk} \right) f_k. \end{aligned}$$

So $c_k = \sum_{j=1}^n r_j a_{jk}$, and hence

$$(c_1, c_2, \dots, c_q) = \sum_{j=1}^n r_j (a_{j1}, a_{j2}, \dots, a_{jq}).$$

Therefore, $\{(a_{j1}, a_{j2}, \dots, a_{jq})\}_{j \in J}$ is a family of relations between e_1, e_2, \dots, e_q which generates the module of relations between e_1, e_2, \dots, e_q . Let Ω be the set of all matrices A having the property that each row of A is one of the relations $(a_{j1}, a_{j2}, \dots, a_{jq})$. Then, as we see in Lemma 2.7,

$$I_n(e|E) = \sum_{A \in \Omega} I_n(A) \quad \text{for all } n \geq 0, \quad (2.12)$$

and hence

$$S^{-1}I_n(e|E) = S^{-1} \left(\sum_{A \in \Omega} I_n(A) \right) = \sum_{A \in \Omega} (S^{-1}I_n(A)). \quad (2.13)$$

On the other hand, by proposition 1.1, (2.11) can induce an exact sequence

$$S^{-1}G \xrightarrow{S^{-1}\psi} S^{-1}F \xrightarrow{S^{-1}\phi} S^{-1}E \longrightarrow 0,$$

where $S^{-1}F$ and $S^{-1}G$ are free $S^{-1}R$ -module with bases $\{f_k/1\}_{1 \leq k \leq g}$ and $\{g_j/1\}_{j \in J}$, respectively. Also $S^{-1}\phi(f_k/1) = \phi(f_k)/1 = e_k/1$ and

$$S^{-1}\psi(g_j/1) = (a_{j1}/1)(g_1/1) + (a_{j2}/1)(g_2/1) + \cdots + (a_{jn}/1)(g_n/1).$$

For any matrix $A = (a_{ij})$, if we define $S^{-1}A = (a_{ij}/1)$ with entries in $S^{-1}R$, then $\{S^{-1}A\}_{A \in \Omega}$ is the set of all matrices rows of which belong to the family $\{(a_{j1}/1, a_{j2}/1, \dots, a_{jq}/1)\}_{j \in J}$. Accordingly, the argument which yielded (2.12) can be used again this time to show that

$$I_n(e/1 | S^{-1}E) = \sum_{A \in \Omega} I_n(S^{-1}A).$$

So, by (2.7) and (2.13),

$$I_n(e/1 | S^{-1}E) = \sum_{A \in \Omega} (S^{-1}I_n(A)) = S^{-1}I_n(e|E).$$

The proof is now complete. \square

Lemma 2.10. *Let E be a finitely generated R -module and let e_1, e_2, \dots, e_q be elements which generate E . Suppose g_1, g_2, \dots, g_r are elements of E . If we denote $e = (e_1, e_2, \dots, e_q)$, $g = (g_1, g_2, \dots, g_r)$, and $eg = (e_1, e_2, \dots, e_q, g_1, g_2, \dots, g_r)$, then:*

1. $I_t(eg|E) = R$ for $0 \leq t \leq r$;
2. $I_s(e|E) = I_{s+r}(eg|E)$ for all $s \geq 0$.

Proof. For each k with $1 \leq k \leq r$, since $g_k \in Re_1 + Re_2 + \cdots + Re_q$, there are $c_{k1}, c_{k2}, \dots, c_{kq} \in R$ such that

$$c_{k1}e_1 + c_{k2}e_2 + \cdots + c_{kq}e_q + g_k = 0.$$

Now let $A = (a_{ij})$ be a $p \times q$ matrix each row of which is a relation between e_1, e_2, \dots, e_q and let

$$B = \begin{pmatrix} a_{11} & \cdots & a_{1q} & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ a_{p1} & \cdots & a_{pq} & 0 & \cdots & 0 \\ c_{11} & \cdots & c_{1q} & 1 & \cdots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ c_{r1} & \cdots & c_{rq} & 0 & \cdots & 1 \end{pmatrix}.$$

Then the rows of B are relations between $(e_1, e_2, \dots, e_q, g_1, g_2, \dots, g_r)$. Since $I_t(B) = R$ for $0 \leq t \leq r$, this shows that

$$I_t(eg|E) = R \text{ for all } 0 \leq t \leq r.$$

By elementary column operations, we can change B to a matrix

$$B' = \begin{pmatrix} A & 0 \\ 0 & I_{r \times r} \end{pmatrix}$$

and such operations do not change the determinant ideals by Corollary 2.4. Then we conclude that for all $s \geq 0$ we have

$$I_s(A) = I_{s+r}(B') = I_{s+r}(B) \subseteq I_{s+r}(eg|E),$$

and therefore

$$I_s(e|E) \subseteq I_{s+r}(eg|E).$$

Conversely, let D be a matrix with $q + r$ columns each row of which is a relation between $e_1, e_2, \dots, e_q, g_1, g_2, \dots, g_r$. Let

$$Q = \begin{pmatrix} & & D & & & \\ c_{11} & \cdots & c_{1q} & 1 & \cdots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ c_{r1} & \cdots & c_{rq} & 0 & \cdots & 1 \end{pmatrix}.$$

By elementary row operations, we can bring Q to the form

$$Q' = \begin{pmatrix} & A' & & 0 \\ c_{11} & \cdots & c_{1q} & \\ \vdots & \ddots & \vdots & I_{r \times r} \\ c_{r1} & \cdots & c_{rq} & \end{pmatrix}.$$

Since the rows of Q are relations between $e_1, e_2, \dots, e_q, g_1, g_2, \dots, g_r$, so do the rows of Q' . It follows that the rows of A' are relations between e_1, e_2, \dots, e_q and therefore $I_s(A') \subseteq I_s(e|E)$ for all $s \geq 0$. But

$$I_{s+r}(D) \subseteq I_{s+r}(Q) = I_{s+r}(Q') = I_s(A')$$

shows that

$$I_{s+r}(D) \subseteq I_s(e|E).$$

Finally by allowing D to vary, we conclude that

$$I_{s+r}(eg|E) \subseteq I_s(e|E)$$

and with this the proof is complete. □

2.2 Fitting Ideals

In this section, we will define the Fitting ideals of a finitely generated R -module and show some basic properties of them.

Definition 2.5. Let E be a finitely generated R -module with the generators $e = (e_1, e_2, \dots, e_q)$. Then we define

$$\mathfrak{F}_n(E) = \begin{cases} I_{q-n}(e|E) & \text{if } 0 \leq n \leq q; \\ R & \text{if } n > q, \end{cases}$$

and call $\mathfrak{F}_n(E)$ the n th *Fitting ideal* (after H. Fitting, who investigated their properties) or the n th *Fitting invariant* of E .

The Fitting ideal $\mathfrak{F}_0(E)$ is called the *zero-th Fitting ideal* or *initial Fitting ideal*. In some applications it is the only one which comes up, in which case it is called *the Fitting ideal* $\mathfrak{F}(E)$ of E . It is the ideal generated by all $q \times q$ subdeterminants of all matrices each row of which is a relation between the q generators of the module E .

In fact, these Fitting ideals are not depend on the particular sequence of generators $e = (e_1, e_2, \dots, e_q)$ that is used to compute them. We write this to be a theorem.

Theorem 2.11. *Let E be a finitely generated R -module with the generators $e = (e_1, e_2, \dots, e_q)$ and $g = (g_1, g_2, \dots, g_r)$. Then for each n , the two Fitting ideals defined by e and g respectively are the same.*

Proof. Let $\mathfrak{F}_n^{(e)}(E)$ and $\mathfrak{F}_n^{(g)}(E)$ denote the n th Fitting ideals defined by e and g , respectively. Without loss of generality, we assume that $q \leq r$. Since e and g both can generate E , we can apply Lemma 2.10. For $0 \leq n \leq q$, by Lemma 2.10, we have

$$I_{q-n}(e|E) = I_{q-n+r}(eg|E) = I_{r-n+q}(ge|E) = I_{r-n}(g|E),$$

and hence

$$\mathfrak{F}_n^{(e)}(E) = I_{q-n}(e|E) = I_{r-n}(g|E) = \mathfrak{F}_n^{(g)}(E).$$

For $q \leq n \leq r$, we have

$$I_{r-n}(g|E) = I_{r-n+q}(eg|E) = R,$$

and hence

$$\mathfrak{F}_n^{(e)}(E) = R = I_{r-n}(g|E) = \mathfrak{F}_n^{(g)}(E).$$

For $q \leq r < n$, by definition we have

$$\mathfrak{F}_n^{(e)}(E) = R = \mathfrak{F}_n^{(g)}(E).$$

Thus we complete the proof. □

We now can restate some of our earlier conclusions in terms of Fitting ideals.

Corollary 2.12. *Let E be a finitely generated R -module.*

1. *We have an increasing sequence*

$$\mathfrak{F}_0(E) \subseteq \mathfrak{F}_1(E) \subseteq \mathfrak{F}_2(E) \subseteq \cdots$$

of ideals. Furthermore, if E can be generated by q elements, then

$$\mathfrak{F}_q(E) = R.$$

2. *If E is finitely presented, then $\mathfrak{F}_n(E)$ is a finitely generated ideal for all n . Suppose E can be generated by q elements and M is a matrix rows of which can generate the module of relations between the q generators of E . Then*

$$\mathfrak{F}_n(E) = \begin{cases} I_{q-n}(M) & \text{if } 0 \leq n < q; \\ R & \text{if } n \geq q. \end{cases}$$

3. Suppose S is a multiplicatively closed subset of R with $0 \notin S$. Then for every integer $n \geq 0$ we have

$$S^{-1}\mathfrak{F}_n(E) = \mathfrak{F}_n^{(S^{-1}R)}(S^{-1}E),$$

where $\mathfrak{F}_n^{(S^{-1}R)}(S^{-1}E)$ denotes the n th Fitting ideal of the $S^{-1}R$ -module $S^{-1}E$.

Proof. This proposition is directly obtained by (2.9), Lemma 2.7, and Lemma 2.9, respectively. \square

Example 2.1. Let E be a free R -module of rank q . Then

$$\mathfrak{F}_n(E) = \begin{cases} 0 & \text{if } 0 \leq n < q; \\ R & \text{if } n \geq q. \end{cases}$$

This is immediate from the definitions of n th Fitting ideals and the fact that the only relation of a basis for E is the trivial one.

Lemma 2.13. Let E be a finitely generated R -module. If there exists $f : E \rightarrow E'$ which is a surjection of R -modules, then

$$\mathfrak{F}_n(E) \subseteq \mathfrak{F}_n(E') \quad \text{for all } n \geq 0.$$

Proof. Let E can be generated by e_1, e_2, \dots, e_q . Since f is a surjection, E' can be generated by $f(e_1), f(e_2), \dots, f(e_q)$. For any relation (a_1, a_2, \dots, a_q) between e_1, e_2, \dots, e_q ,

$$\sum_{i=1}^q a_i f(e_i) = f\left(\sum_{i=1}^q a_i e_i\right) = f(0) = 0,$$

so (a_1, a_2, \dots, a_q) is also a relation between $f(e_1), f(e_2), \dots, f(e_q)$. Therefore, if $0 \leq n \leq q$,

$$\mathfrak{F}_n(E) = \sum_A I_{q-n}(A) \subseteq \sum_{A'} I_{q-n}(A') = \mathfrak{F}_n(E'),$$

where A and A' are matrices of relations between e_1, e_2, \dots, e_q and $f(e_1), f(e_2), \dots, f(e_q)$, respectively. If $n > q$, then

$$\mathfrak{F}_n(E) = \mathfrak{F}_n(E') = R.$$

\square

It is clear that any two isomorphic finitely generated modules have the same n th Fitting ideals. We note that if E is an R -submodule of E' then we do not necessarily have an inclusion of the two n th Fitting ideals. For an example where R is a polynomial ring in three variables over a field, see Ex.10(g) of chapter VII in [4].

We recall that for any R -module E we denote the annihilator of E in R to be

$$\text{Ann}_R(E) = \{a \in R \mid aE = 0\}$$

We also recall that if I and I' are ideals of R , we denote

$$II' = \left\{ \sum_{i=1}^n r_i r'_i \mid \text{for some } n \in \mathbb{N}, r_i \in I, r'_i \in I' \right\}.$$

Theorem 2.14. *Let E be an R -module which can be generated by q elements. Then*

$$(\text{Ann}_R(E))^q \subseteq \mathfrak{F}(E) \subseteq \text{Ann}_R(E).$$

In particular, if E can be generated by a single element, then

$$\mathfrak{F}(E) = \text{Ann}_R(E).$$

Proof. Let $E = Re_1 + Re_2 + \cdots + Re_q$ and $c_1, c_2, \dots, c_q \in \text{Ann}_R(E)$. Then each row of the $q \times q$ diagonal matrix

$$C = \begin{pmatrix} c_1 & 0 & \cdots & 0 \\ 0 & c_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & c_q \end{pmatrix}$$

is a relation between e_1, e_2, \dots, e_q and therefore

$$c_1 c_2 \cdots c_q = \det(C) \in I_q(C) \subseteq \mathfrak{F}(E).$$

This shows that $(\text{Ann}_R(E))^q \subseteq \mathfrak{F}(E)$.

Now, let A be a $q \times q$ matrix of relations between e_1, e_2, \dots, e_q . Then $\det(A)e_i = 0$ for $i = 1, 2, \dots, q$, as may be seen by multiplying the equation

$$A \begin{pmatrix} e_1 \\ e_2 \\ \vdots \\ e_q \end{pmatrix} = 0$$

by the adjugate of A . Thus $\det(A) \in \text{Ann}_R(E)$. Since $\mathfrak{F}(E)$ is generated by elements of the form $\det(A)$, it follows that $\mathfrak{F}(E) \subseteq \text{Ann}_R(E)$. \square

Corollary 2.15. *Let $E = R/I$ for some ideal I of R . Then $\mathfrak{F}(E) = I$.*

Proof. The module R/I can be generated by a single element $\bar{1}$, which is the image of 1 in R/I . Consequently, by Theorem 2.14,

$$\mathfrak{F}(E) = \text{Ann}_R(R/I) = I.$$

\square

Lemma 2.16. *Let $0 \longrightarrow E' \xrightarrow{\phi_1} E \xrightarrow{\phi_2} E'' \longrightarrow 0$ be an exact sequence of finitely generated R -modules. For integers $m, n \geq 0$ we have*

$$\mathfrak{F}_m(E') \mathfrak{F}_n(E'') \subseteq \mathfrak{F}_{m+n}(E).$$

In particular,

$$\mathfrak{F}(E') \mathfrak{F}(E'') \subseteq \mathfrak{F}(E).$$

Proof. Let e_1, e_2, \dots, e_p be elements in E such that their images $e''_1, e''_2, \dots, e''_p$ in E'' generate E'' . Let e'_1, e'_2, \dots, e'_q generate E' and $\phi_1(e'_i) = e_{p+i}$ for $i = 1, 2, \dots, q$. Then $e_1, \dots, e_p, e_{p+1}, \dots, e_{p+q}$ is a system of generators for E . We first consider the case that $0 \leq m \leq q$ and $0 \leq n \leq p$. Let A be a matrix with p columns rows of which are relations between $e''_1, e''_2, \dots, e''_p$. If (a_1, a_2, \dots, a_p) is such a relation, then

$$\phi_2 \left(\sum_{i=1}^p a_i e_i \right) = \sum_{i=1}^p a_i \phi_2(e_i) = \sum_{i=1}^p a_i e''_i = 0.$$

We have $\sum_{i=1}^p a_i e_i \in \text{Ker} \phi_2 = \text{Im} \phi_1$, and hence there exists $b_1, b_2, \dots, b_q \in R$ such that

$$\sum_{i=1}^p a_i e_i + \sum_{j=1}^q b_j e_{p+j} = 0.$$

Thus we can find a matrix B , with q columns and the same number of rows as A , such that the rows of the matrix

$$(A|B)$$

are relations between e_1, \dots, e_{p+q} . Let C be a matrix with q columns rows of which are relations between e'_1, e'_2, \dots, e'_q . Then

$$D = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix}$$

is a matrix of relations between e_1, \dots, e_{p+q} . If d' is a $(q-m) \times (q-m)$ subdeterminant of C and d'' a $(p-n) \times (p-n)$ subdeterminant of A , then $d'd''$ is a $(p+q-m-n) \times (p+q-m-n)$ subdeterminant of D and hence $d'd'' \in \mathfrak{F}_{m+n}(E)$. Since $\mathfrak{F}_m(E')$ is generated by determinants like d' and $\mathfrak{F}_n(E'')$ is generated by determinants like d'' , we prove

$$\mathfrak{F}_m(E') \mathfrak{F}_n(E'') \subseteq \mathfrak{F}_{m+n}(E)$$

in this case.

If $m > q$ and $n > p$, then $\mathfrak{F}_m(E') = \mathfrak{F}_n(E'') = \mathfrak{F}_{m+n}(E) = R$ and hence the lemma is trivial in this case.

If $0 \leq m \leq q$ and $n > p$, then $\mathfrak{F}_n(E'') = R = \mathfrak{F}_p(E'')$ and therefore

$$\mathfrak{F}_m(E') \mathfrak{F}_n(E'') = \mathfrak{F}_m(E') \mathfrak{F}_p(E'') \subseteq \mathfrak{F}_{m+p}(E) \subseteq \mathfrak{F}_{m+n}(E).$$

A similar argument proves the remaining case with $m > q$ and $0 \leq n \leq p$. Thus we have

$$\mathfrak{F}_m(E') \mathfrak{F}_n(E'') \subseteq \mathfrak{F}_{m+n}(E).$$

By taking $m = n = 0$, we have

$$\mathfrak{F}(E') \mathfrak{F}(E'') \subseteq \mathfrak{F}(E).$$

□

Theorem 2.17. *Let E' and E'' be finitely generated R -modules. For any integer $n \geq 0$ we have*

$$\mathfrak{F}_n(E' \oplus E'') = \sum_{r+s=n} \mathfrak{F}_r(E') \mathfrak{F}_s(E'').$$

In particular,

$$\mathfrak{F}(E' \oplus E'') = \mathfrak{F}(E') \mathfrak{F}(E'').$$

Proof. Let e'_1, e'_2, \dots, e'_p generate E' and $e''_1, e''_2, \dots, e''_q$ generate E'' . Then $e'_1, e'_2, \dots, e'_p, e''_1, e''_2, \dots, e''_q$ generate $E' \oplus E''$. By Lemma 2.16, we know that

$$\sum_{r+s=n} \mathfrak{F}_r(E') \mathfrak{F}_s(E'') \subseteq \mathfrak{F}_n(E' \oplus E''),$$

so we have to prove the converse.

If $n \geq p + q$, then we can choose $r \geq p$ and $s \geq q$ such that $s + r = n$. So we have

$$\mathfrak{F}_n(E' \oplus E'') = \mathfrak{F}_r(E') = \mathfrak{F}_s(E'') = R,$$

and obtain the opposite inclusion.

Now we assume $0 \leq n < p + q$. In what follows, Q will be used to denote a matrix of the form

$$Q = \begin{pmatrix} A' & 0 \\ 0 & A'' \end{pmatrix},$$

where the rows of A' are relations between e'_1, e'_2, \dots, e'_p and the rows of A'' are relations between $e''_1, e''_2, \dots, e''_q$. Note that, for any $a'_1, a'_2, \dots, a'_q, a''_1, a''_2, \dots, a''_q \in R$, if

$$a'_1 e'_1 + \dots + a'_p e'_p + a''_1 e''_1 + \dots + a''_q e''_q = 0,$$

then $(a'_1, a'_2, \dots, a'_q)$ is a relations between e'_1, e'_2, \dots, e'_p and $(a''_1, a''_2, \dots, a''_q)$ a relations between $e''_1, e''_2, \dots, e''_q$. Hence the rows of matrices such as Q generate the module of relations between $e'_1, e'_2, \dots, e'_p, e''_1, e''_2, \dots, e''_q$ and therefore

$$\mathfrak{F}_n(E' \oplus E'') = \sum_Q I_{p+q-n}(Q).$$

Let d be a $(p + q - n) \times (p + q - n)$ subdeterminant of Q . Then d is the determinant of the submatrix D of Q which has the form

$$D = \begin{pmatrix} B' & 0 \\ 0 & B'' \end{pmatrix},$$

where B' is a $k' \times (p - r)$ matrix and B'' a $k'' \times (q - s)$ matrix with some nonnegative integers k', k'', r, s satisfying

$$k' + k'' = p + q - n \text{ and } r + s = n.$$

However, $d = 0$ unless $k' = p - r, k'' = q - s$, and B' is a submatrix of A' , B'' a submatrix of A'' . In that case,

$$d = \det(B') \det(B'') \subseteq \mathfrak{F}_r(E') \mathfrak{F}_s(E'').$$

Since $\mathfrak{F}_n(E' \oplus E'')$ is generated by elements such as d ,

$$\mathfrak{F}_n(E' \oplus E'') \subseteq \sum_{r+s=n} \mathfrak{F}_r(E') \mathfrak{F}_s(E'').$$

This proof is complete. □

Corollary 2.18. *Let*

$$E = R/I_1 \oplus R/I_2 \oplus \cdots \oplus R/I_t,$$

where I_1, I_2, \dots, I_t are ideals of R . Then

$$\mathfrak{F}(E) = I_1 I_2 \cdots I_t.$$

Proof. Since I_1, I_2, \dots, I_t are ideals of R , by Corollary 2.15, we have

$$\mathfrak{F}(R/I_k) = I_k \quad \text{for } k = 1, 2, \dots, t.$$

Thus, by Theorem 2.17,

$$\mathfrak{F}(E) = \mathfrak{F}(R/I_1) \mathfrak{F}(R/I_2) \cdots \mathfrak{F}(R/I_t) = I_1 I_2 \cdots I_t.$$

□

Lemma 2.19. *Let E be a finitely generated R -module and I an ideal of R . Then*

1. $\mathfrak{F}_n(E/IE) \subseteq \mathfrak{F}_n(E) + I \subseteq R$;
2. $\mathfrak{F}_n^{(R/I)}(E/IE)$ is the image of $\mathfrak{F}_n(E)$ in R/I , where $\mathfrak{F}_n^{(R/I)}(E/IE)$ denotes the n th Fitting ideal of the R/I -module E/IE .

Proof. In this proof, we use \bar{r} to denote the image of r in R/I , and use \bar{e} to denote the image of e in E/IE . Suppose E can be generated by e_1, e_2, \dots, e_q .

(1) We know that E/IE is an R -module given by $r\bar{e} = \bar{r}\bar{e}$, for any $r \in R$, $\bar{e} \in E/IE$. So E/IE is a finitely generated R -module with generators $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$. Let A be a $p \times q$ matrix of relations between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$ and $(a_{i1}, a_{i2}, \dots, a_{iq})$ a row of A . Since $\sum_{j=1}^q a_{ij}\bar{e}_j = 0$, we have $\sum_{j=1}^q a_{ij}e_j \in IE$. There are suitable $d_{i1}, d_{i2}, \dots, d_{iq} \in I$ such that

$$\sum_{j=1}^q a_{ij}e_j = \sum_{j=1}^q d_{ij}e_j.$$

So we have $\sum_{j=1}^q (a_{ij} - d_{ij})e_j = 0$ and hence

$$B = \begin{pmatrix} a_{11} - d_{11} & a_{12} - d_{12} & \cdots & a_{1q} - d_{1q} \\ a_{21} - d_{21} & a_{22} - d_{22} & \cdots & a_{2q} - d_{2q} \\ \vdots & \vdots & \ddots & \vdots \\ a_{p1} - d_{p1} & a_{p2} - d_{p2} & \cdots & a_{pq} - d_{pq} \end{pmatrix}$$

is a $p \times q$ matrix of relations between e_1, e_2, \dots, e_q . Suppose A' is an $r \times r$ submatrix of A for some $r \in \mathbb{N}$, then there are an $r \times r$ submatrix B' of B and an $r \times r$ matrix D' with entries in I such that

$$A' = B' + D'.$$

So we have

$$\det(A') = \det(B') + d', \text{ for some } d' \in I.$$

Hence for $0 \leq n \leq q$,

$$\mathfrak{F}_n(E/IE) = \sum_{C_1} I_{q-n}(C_1) \subseteq \sum_{C_2} I_{q-n}(C_2) + I = \mathfrak{F}_n(E) + I \subseteq R,$$

where C_1 is a matrix of relations between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$ and C_2 is a matrix of relations between e_1, e_2, \dots, e_q . For $n > q$, we have

$$\mathfrak{F}_n(E/IE) = R = \mathfrak{F}_n(E)$$

and obtain the result.

(2) We have that E/IE is a R/I -module given by $\bar{r}\bar{e} = \overline{r\bar{e}}$, for any $\bar{r} \in R/I, \bar{e} \in E/IE$. So E/IE is a finitely generated R/I -module with generators $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$. In this proof, if $M = (m_{ij})$ is a matrix over R , then we define $\bar{M} = (\bar{m}_{ij})$ with \bar{m}_{ij} being the image of m_{ij} in R/I . We first claim that there is a correspondence with the matrix of relations between e_1, e_2, \dots, e_q and between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$. Let $A = (a_{ij})$ be a $p \times q$ matrix of relations between e_1, e_2, \dots, e_q and $(a_{i1}, a_{i2}, \dots, a_{iq})$ a row of A . We have $\sum_{j=1}^q a_{ij}e_j = 0$ and hence $\sum_{j=1}^q \bar{a}_{ij}\bar{e}_j = 0$, where \bar{a}_{ij} is the image of a_{ij} in R/I . So \bar{A} is a matrix of relations between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$.

Conversely, let $\bar{C} = (\bar{c}_{ij})$ be a $p \times q$ matrix of relations between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_q$ and $(\bar{c}_{i1}, \bar{c}_{i2}, \dots, \bar{c}_{iq})$ a row of \bar{C} . We have $\sum_{j=1}^q \bar{c}_{ij}\bar{e}_j = 0$ and hence $\sum_{j=1}^q c_{ij}e_j \in IE$. There are suitable $d_{i1}, d_{i2}, \dots, d_{iq} \in I$ such that

$$\sum_{j=1}^q c_{ij}e_j = \sum_{j=1}^q d_{ij}e_j.$$

So we have $\sum_{j=1}^q (c_{ij} - d_{ij})e_j = 0$ and hence

$$Q = \begin{pmatrix} c_{11} - d_{11} & c_{12} - d_{12} & \cdots & c_{1q} - d_{1q} \\ c_{21} - d_{21} & c_{22} - d_{22} & \cdots & c_{2q} - d_{2q} \\ \vdots & \vdots & \ddots & \vdots \\ c_{p1} - d_{p1} & c_{p2} - d_{p2} & \cdots & c_{pq} - d_{pq} \end{pmatrix}$$

is a matrix of relations between e_1, e_2, \dots, e_q . Moreover, we have

$$\begin{aligned} \bar{Q} &= \begin{pmatrix} \overline{c_{11} - d_{11}} & \overline{c_{12} - d_{12}} & \cdots & \overline{c_{1q} - d_{1q}} \\ \overline{c_{21} - d_{21}} & \overline{c_{22} - d_{22}} & \cdots & \overline{c_{2q} - d_{2q}} \\ \vdots & \vdots & \ddots & \vdots \\ \overline{c_{p1} - d_{p1}} & \overline{c_{p2} - d_{p2}} & \cdots & \overline{c_{pq} - d_{pq}} \end{pmatrix} \\ &= \begin{pmatrix} \overline{c_{11}} & \overline{c_{12}} & \cdots & \overline{c_{1q}} \\ \overline{c_{21}} & \overline{c_{22}} & \cdots & \overline{c_{2q}} \\ \vdots & \vdots & \ddots & \vdots \\ \overline{c_{p1}} & \overline{c_{p2}} & \cdots & \overline{c_{pq}} \end{pmatrix} = \bar{C}, \end{aligned}$$

Now, for $0 \leq n \leq q$,

$$\begin{aligned} \mathfrak{F}_n^{(R/I)}(E/IE) &= \sum_B I_{q-n}(\bar{B}) \\ &= \text{the image of } \sum_B I_{q-n}(B) \text{ in } R/I \\ &= \text{the image of } \mathfrak{F}_n(E) \text{ in } R/I, \end{aligned}$$

where B is a matrix of relations between e_1, e_2, \dots, e_q . □

By 2 of Corollary 2.12, if E is finitely presented, or equivalently E has a finite presentation, then there is a matrix M such that $\mathfrak{F}_n(E)$ is the ideal generated by all $(q-n) \times (q-n)$ subdeterminants of M , where q is the number of generators of E and $n < q$. But how to find the matrix M ? The proof of Theorem 1.2 gives us some information. We write this to be a lemma.

Lemma 2.20. *Let E be an R -module with a finite presentation*

$$G \xrightarrow{h} F \xrightarrow{\phi} E \longrightarrow 0,$$

where G and F are finite free R -modules with free bases β and γ , respectively. Let $M = ([h]_{\beta}^{\gamma})^t$ be the transport of the matrix representation of h in ordered bases β and γ . If $|\gamma| = q$, then, for $0 \leq n < q$, $\mathfrak{F}_n(E)$ is the ideal of R generated by all $(q-n) \times (q-n)$ subdeterminants of M .

Proof. Suppose $\beta = \{g_1, g_2, \dots, g_p\}$, $\gamma = \{f_1, f_2, \dots, f_q\}$, and $M = ([h]_{\beta}^{\gamma})^t = (m_{ij})$. Since ϕ is surjective, $\phi(f_1), \phi(f_2), \dots, \phi(f_q)$ generate E . For any relation (a_1, a_2, \dots, a_q) between $\phi(f_1), \phi(f_2), \dots, \phi(f_q)$,

$$\phi\left(\sum_{i=1}^q a_i f_i\right) = \sum_{i=1}^q a_i \phi(f_i) = 0,$$

so $\sum_{i=1}^q a_i f_i \in \text{Ker}\phi = \text{Im}h$, which is generated by $h(g_1), h(g_2), \dots, h(g_p)$. Since $h(g_i) = \sum_{j=1}^q m_{ij} f_j$ and $\{f_1, f_2, \dots, f_q\}$ is a basis for F , (a_1, a_2, \dots, a_q) is an R -linear combination of rows of M . Conversely, let $(m_{i1}, m_{i2}, \dots, m_{iq})$ be a row of M , then

$$\sum_{j=1}^q m_{ij} \phi(f_j) = \phi\left(\sum_{j=1}^q m_{ij} f_j\right) = \phi(h(g_i)) = 0.$$

Hence the module of relations between $\phi(f_1), \phi(f_2), \dots, \phi(f_q)$ can be generated by rows of M . By 2 of Corollary 2.12, for $0 \leq n < q$, we have

$$\mathfrak{F}_n(E) = I_{q-n}(M),$$

which is the ideal of R generated by all $(q-n) \times (q-n)$ subdeterminants of M . \square

In fact, any n th Fitting ideal of E is not depend on the choices of the finite presentation and the free bases.

Now we can use Lemma 2.20 to prove Lemma 2.19 in another way.

Lemma 2.21. *Let E be an R -module with a finite presentation and I an ideal of R .*

1. $\mathfrak{F}_n^{(R/I)}(E/IE) =$ the image of $\mathfrak{F}_n(E)$ in R/I .
2. If I is a finitely generated ideal of R , then

$$\mathfrak{F}_n(E/IE) \subseteq \mathfrak{F}_n(E) + I \subseteq R.$$

Proof. In this proof, we use \bar{r} to denote the image of r in R/I , and use \bar{e} to denote the image of e in E/IE . If $A = (a_{ij})$ is a matrix over R , then we denote $\bar{A} = (\bar{a}_{ij})$ with \bar{a}_{ij} is the image of a_{ij} in R/I .

(1) Suppose

$$R^p \xrightarrow{h} R^q \xrightarrow{\phi} E \longrightarrow 0$$

is a finite presentation of E . Then we can construct a finite R/I -presentation of E/IE as follows:

$$(R/I)^p \xrightarrow{\bar{h}} (R/I)^q \xrightarrow{\bar{\phi}} E/IE \longrightarrow 0,$$

where

$$\begin{aligned} \bar{h}(\bar{r}_1, \bar{r}_2, \dots, \bar{r}_p) &= (\bar{s}_1, \bar{s}_2, \dots, \bar{s}_q) \text{ if } h(r_1, r_2, \dots, r_p) = (s_1, s_2, \dots, s_q), \\ \bar{\phi}(\bar{s}_1, \bar{s}_2, \dots, \bar{s}_q) &= \bar{e} \text{ if } \phi(s_1, s_2, \dots, s_q) = e. \end{aligned}$$

Indeed, for $d_1, d_2, \dots, d_p \in I$, each component of

$$\begin{aligned} &h(d_1, d_2, \dots, d_p) \\ &= d_1 h(1, 0, \dots, 0) + d_2 h(0, 1, 0, \dots, 0) + \dots + d_p h(0, \dots, 0, 1) \end{aligned}$$

is in I , so $\bar{\phi}$ is well-defined. For $d'_1, d'_2, \dots, d'_q \in I$,

$$\begin{aligned} &\phi(d'_1, d'_2, \dots, d'_q) \\ &= d'_1 \phi(1, 0, \dots, 0) + d'_2 \phi(0, 1, 0, \dots, 0) + \dots + d'_q \phi(0, \dots, 0, 1) \in IE, \end{aligned}$$

so $\bar{\phi}$ is well-defined. It is easy to see that $\bar{\phi}$ is surjective. For any $(\bar{r}_1, \bar{r}_2, \dots, \bar{r}_p) \in (R/I)^p$,

$$\overline{\bar{h}(\bar{r}_1, \bar{r}_2, \dots, \bar{r}_p)} = \overline{\phi h(r_1, r_2, \dots, r_p)} = 0$$

in E/IE , so $Im \bar{h} \subseteq Ker \bar{\phi}$. Conversely, for any $(\bar{t}_1, \bar{t}_2, \dots, \bar{t}_q) \in Ker \bar{\phi}$, since

$$\overline{\phi(t_1, t_2, \dots, t_q)} = \bar{\phi}(\bar{t}_1, \bar{t}_2, \dots, \bar{t}_q) = 0$$

in E/IE ,

$$\phi(t_1, t_2, \dots, t_q) = \sum_{i=1}^k c_i e_i \quad \text{for some } c_i \in I, e_i \in E.$$

Suppose $e_i = \phi(v_{i1}, v_{i2}, \dots, v_{iq})$ for some $(v_{i1}, v_{i2}, \dots, v_{iq}) \in R^q$, then

$$\begin{aligned} & \phi(t_1, t_2, \dots, t_q) \\ &= \sum_{i=1}^k c_i \phi(v_{i1}, v_{i2}, \dots, v_{iq}) \\ &= \phi \left(\sum_{i=1}^k c_i v_{i1}, \sum_{i=1}^k c_i v_{i2}, \dots, \sum_{i=1}^k c_i v_{iq} \right). \end{aligned}$$

Hence

$$(t_1, t_2, \dots, t_q) - \left(\sum_{i=1}^k c_i v_{i1}, \sum_{i=1}^k c_i v_{i2}, \dots, \sum_{i=1}^k c_i v_{iq} \right) \in \text{Ker} \phi = \text{Im} h.$$

Therefore,

$$\begin{aligned} & (t_1, t_2, \dots, t_q) - \left(\sum_{i=1}^k c_i v_{i1}, \sum_{i=1}^k c_i v_{i2}, \dots, \sum_{i=1}^k c_i v_{iq} \right) \\ &= h(u_1, u_2, \dots, u_p) \end{aligned}$$

for some $(u_1, u_2, \dots, u_p) \in R^p$. Since, for $j = 1, 2, \dots, q$, $\sum_{i=1}^k c_i v_{ij} \in I$, we have

$$\begin{aligned} \bar{h}(\bar{u}_1, \bar{u}_2, \dots, \bar{u}_p) &= \left(\overline{t_1 - \sum_{i=1}^k c_i v_{i1}}, \overline{t_2 - \sum_{i=1}^k c_i v_{i2}}, \dots, \overline{t_q - \sum_{i=1}^k c_i v_{iq}} \right) \\ &= (\bar{t}_1, \bar{t}_2, \dots, \bar{t}_q). \end{aligned}$$

Thus $\text{Ker} \bar{\phi} \subseteq \text{Im} \bar{h}$ and we really have a finite R/I -representation of E/IE .

Let $\beta = \{\beta_1, \beta_2, \dots, \beta_p\}$ and $\gamma = \{\gamma_1, \gamma_2, \dots, \gamma_q\}$ be standard ordered bases for R^p and R^q , respectively. Let $\bar{\beta} = \{\bar{\beta}_1, \bar{\beta}_2, \dots, \bar{\beta}_p\}$ and $\bar{\gamma} = \{\bar{\gamma}_1, \bar{\gamma}_2, \dots, \bar{\gamma}_q\}$ be standard ordered bases for $(R/I)^p$ and $(R/I)^q$, respectively. Then we have

$$[\bar{h}]_{\bar{\beta}}^{\bar{\gamma}} = [\bar{h}]_{\beta}^{\gamma}.$$

By Lemma 2.20, we know that

$$\mathfrak{F}_n^{(R/I)}(E/IE) = \text{the image of } \mathfrak{F}_n(E) \text{ in } R/I.$$

(2) Suppose

$$R^p \xrightarrow{h} R^q \xrightarrow{\phi} E \longrightarrow 0$$

is a finite presentation of E , and $I = c_1R + c_2R + \cdots + c_rR \subseteq R$. Then we can construct a finite R -presentation of E/IE as follows:

$$R^p \oplus \underbrace{R^q \oplus R^q \oplus \cdots \oplus R^q}_r \xrightarrow{h^*} R^q \xrightarrow{\bar{\phi}} E/IE \longrightarrow 0,$$

where

$$\begin{aligned} \bar{\phi}(s_1, s_2, \dots, s_q) &= \overline{\phi(s_1, s_2, \dots, s_q)}, \\ h^* &= h \oplus h_1 \oplus h_2 \oplus \cdots \oplus h_r \text{ with} \\ h_i : R^q &\rightarrow R^q \text{ given by } (r_1, r_2, \dots, r_q) \mapsto (c_i r_1, c_i r_2, \dots, c_i r_q), \end{aligned}$$

Indeed, since

$$\begin{aligned} &\phi h^*(R^p \oplus R^q \oplus \cdots \oplus R^q) \\ &= \phi(h(R^p) + c_1R^q + c_2R^q + \cdots + c_rR^q) \\ &= \phi h(R^p) + c_1\phi(R^q) + c_2\phi(R^q) + \cdots + c_r\phi(R^q) \\ &\subseteq IE. \end{aligned}$$

we have $Imh^* \subseteq Ker\bar{\phi}$.

Conversely, for any $y \in Ker\bar{\phi}$, $\bar{\phi}(y) = 0$ implies $\phi(y) \in IE$. So we have

$$\phi(y) = \sum_{i=1}^k c_i e_i \quad \text{for some } c_i \in I, e_i \in E.$$

Suppose $e_i = \phi(s_{i1}, s_{i2}, \dots, s_{iq})$ for some $(s_{i1}, s_{i2}, \dots, s_{iq}) \in R^q$, then

$$\phi(y) = \sum_{i=1}^k c_i \phi(s_{i1}, s_{i2}, \dots, s_{iq}) = \phi\left(\sum_{i=1}^k c_i s_{i1}, \sum_{i=1}^k c_i s_{i2}, \dots, \sum_{i=1}^k c_i s_{iq}\right).$$

Hence

$$y - \left(\sum_{i=1}^k c_i s_{i1}, \sum_{i=1}^k c_i s_{i2}, \dots, \sum_{i=1}^k c_i s_{iq}\right) \in Ker\phi = Imh.$$

Therefore

$$y - \left(\sum_{i=1}^k c_i s_{i1}, \sum_{i=1}^k c_i s_{i2}, \dots, \sum_{i=1}^k c_i s_{iq} \right) = h(x) \text{ for some } x \in R^p.$$

Since

$$\begin{aligned} y &= h(x) + \left(\sum_{i=1}^k c_i s_{i1}, \sum_{i=1}^k c_i s_{i2}, \dots, \sum_{i=1}^k c_i s_{iq} \right) \\ &= h(x) + \sum_{i=1}^q c_i (s_{i1}, s_{i2}, \dots, s_{iq}), \end{aligned}$$

we have $y \in \text{Im} h^*$. Thus $\text{Ker} \bar{\phi} \subseteq \text{Im} h^*$.

Suppose β, γ, δ are the standard ordered bases for $R^p, R^q, (R^p \oplus R^q \oplus \dots \oplus R^q)$, respectively. Then

$$([h^*]_{\delta}^{\gamma})^t = \begin{pmatrix} ([h]_{\beta}^{\gamma})^t \\ c_1 \\ \ddots \\ c_1 \\ \vdots \\ c_r \\ \ddots \\ c_r \end{pmatrix}$$

Thus any $(q-n) \times (q-n)$ subdeterminants of $([h^*]_{\delta}^{\gamma})^t$ is either a $(q-n) \times (q-n)$ subdeterminants of $([h]_{\beta}^{\gamma})^t$, or a multiple of one of the c_i 's. \square

3 Some Examples

Example 3.1. Let G be a finitely generated abelian group. We know that either G is free abelian or there is a unique list of positive integers m_1, m_2, \dots, m_t such that $m_1 > 1, m_1 | m_2 | \dots | m_t$ and

$$G \cong \mathbb{Z}_{m_1} \oplus \mathbb{Z}_{m_2} \oplus \dots \oplus \mathbb{Z}_{m_t} \oplus F$$

with F free abelian.

If G is finite, then we can find generators $g = (g_1, g_2, \dots, g_t)$ such that rows of the matrix

$$M = \begin{pmatrix} m_1 & 0 & \cdots & 0 \\ 0 & m_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & m_t \end{pmatrix}$$

generate the module of relations between g_1, g_2, \dots, g_t . Hence, for $0 \leq n < t$,

$$\mathfrak{F}_n(E) = I_{t-n}(M) = \prod_{i=1}^{t-n} m_i \mathbb{Z}.$$

Especially,

$$\mathfrak{F}(G) = |G| \mathbb{Z},$$

which also can be obtained from Corollary 2.18.

If G is not finite, $\mathfrak{F}(G) = 0$.

Example 3.2. Let A be an $n \times n$ matrix with entries in a field F , and E the $F[x]$ -module obtained from F^n with x acting as A . By this we mean that the module structure of E is given by

$$f(x)e = f(A)e \quad \text{for any } f(x) \in F[x], e \in E.$$

Let $\beta = \{e_1, e_2, \dots, e_n\}$ be the standard ordered $F[x]$ -basis of $(F[x])^n$, and $\gamma = (\bar{e}_1, \bar{e}_2, \dots, \bar{e}_n)$ the standard ordered F -basis of E . The action of x on γ is given by the products $A\bar{e}_1, A\bar{e}_2, \dots, A\bar{e}_n$, which on expansion read

$$\begin{aligned} x\bar{e}_1 &= A\bar{e}_1 = a_{11}\bar{e}_1 + a_{21}\bar{e}_2 + \cdots + a_{n1}\bar{e}_n, \\ x\bar{e}_2 &= A\bar{e}_2 = a_{12}\bar{e}_1 + a_{22}\bar{e}_2 + \cdots + a_{n2}\bar{e}_n, \\ &\vdots \\ x\bar{e}_n &= A\bar{e}_n = a_{1n}\bar{e}_1 + a_{2n}\bar{e}_2 + \cdots + a_{nn}\bar{e}_n, \end{aligned}$$

from which we see that the module of relations between $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_n$ can be generated by

$$\begin{aligned} \rho_1 &= (x - a_{11})e_1 - \cdots - a_{j1}e_j - \cdots - a_{n1}e_n, \\ &\vdots \\ \rho_j &= -a_{1j}e_1 - \cdots + (x - a_{jj})e_j - \cdots - a_{nj}e_n, \\ &\vdots \\ \rho_n &= -a_{1n}e_1 - \cdots - a_{jn}e_j - \cdots + (x - a_{nn})e_n. \end{aligned}$$

Indeed, it is clear that, for each i , ρ_i is a relation between $\overline{e_1}, \overline{e_2}, \dots, \overline{e_n}$. And for any relation $\rho = (f_1, f_2, \dots, f_n)$ between $\overline{e_1}, \overline{e_2}, \dots, \overline{e_n}$, we will claim that ρ is an $F[x]$ -linear combination of $\rho_1, \rho_2, \dots, \rho_n$.

Suppose $\deg(f_m) \geq \deg(f_i)$ for all i . If $f_m = (x - a_{mm})q_{m1} + r_{m1}$ for some $r_{m1} \in F, q_{m1} \in F[x]$ with $\deg(q_{m1}) = \deg(f_m) - 1$ or $q_{m1} = 0$. We define

$$f_{i1} = \begin{cases} f_m - q_{m1}(x - a_{mm}) = r_{m1} & \text{if } i = m; \\ f_i - q_{m1}a_{im} & \text{if } i \neq m. \end{cases}$$

So we have

$$(f_1, f_2, \dots, f_n) - q_{m1}\rho_m = (f_{11}, f_{21}, \dots, f_{n1}),$$

and

$$\deg(f_{i1}) \leq \max\{\deg(f_i), \deg(q_{m1})\} \quad \text{for all } i.$$

Continue this process, we can find $q_i \in F[x], r_i \in F$ such that

$$(f_1, f_2, \dots, f_n) = \sum_{i=1}^n q_i \rho_i + (r_1, r_2, \dots, r_n).$$

Since (f_1, f_2, \dots, f_n) and $\sum_{i=1}^n q_i \rho_i$ are in the module of relations between $\overline{e_1}, \overline{e_2}, \dots, \overline{e_n}$, so is (r_1, r_2, \dots, r_n) . However, $\sum_{i=1}^n r_i e_i = \sum_{i=1}^n r_i \overline{e_i} = 0$ implies $r_1 = r_2 = \dots = r_n = 0$. Thus (f_1, f_2, \dots, f_n) is an $F[x]$ -linear combination of $\rho_1, \rho_2, \dots, \rho_n$, and the module of relations between $\overline{e_1}, \overline{e_2}, \dots, \overline{e_n}$ can be generated by $\rho_1, \rho_2, \dots, \rho_n$. So the Fitting ideal of E is the ideal of $F[x]$ generated by the characteristic polynomial of A .

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