



## 5 Gröbner basis for ladder determinantal ideals

From Theorem 3.1 and Theorem 3.2, we have the following corollaries :

**Corollary 5.1** *Let  $X = (x_{ij})$  be a generic  $m \times n$  matrix over a field  $K$ , and let  $R = K[X]$ . Let  $a \leq m$ ,  $b \leq n$  be nonnegative integers and  $p \leq \min\{a, b\}$  a positive integer. Let  $D(X)$  be the part of the matrix  $X$  consisting of the last  $a$  rows and the first  $b$  columns. Let  $G(X)$  be the set of all  $p$ -minors of  $D(X)$ . Let  $I_X$  be the ideal of  $R$  generated by the  $G(X)$ ; then  $G(X)$  is a Gröbner basis for  $I_X$  with respect to the lexicographic term order induced from the variable order*

$$x_{11} > x_{12} > \cdots > x_{1n} > x_{21} > \cdots > x_{m1} > \cdots > x_{mn}.$$

**Proof .** Let  $A, B \in G(X)$  and

$$c(A^*) = \{y_1, \dots, y_p\} \quad \text{with} \quad y_1 > \cdots > y_p,$$

$$c(B^*) = \{z_1, \dots, z_p\} \quad \text{with} \quad z_1 > \cdots > z_p.$$

Assume that  $y_1 = x_{i_1, j_1}$ ,  $y_p = x_{i_p, j_p}$ ,  $z_1 = x_{k_1, l_1}$  and  $y_p = x_{k_p, l_p}$ .

If  $(i_1 > a$  and  $k_1 > a)$  or  $(j_p < b$  and  $l_p < b)$ , then we can conclude that  $S(A, B)$  can be reduced with respect to  $G(X)$  by Theorem 3.1. Therefore, we just have to consider two other cases:  $(i_1 > a, l_p < b)$  and  $(k_1 > a, j_p < b)$ .

We may assume without loss of generality that  $k_1 > a \geq i_1$  and  $j_p < b \leq l_p$ .

Let

$$A = [i_1 \cdots i_p | j_1 \cdots j_p]$$

and

$$B = [k_1 \cdots k_p | l_1 \cdots l_p].$$

Suppose that  $|c(A^*) \cap c(B^*)| = u$ .

If  $u = 0$ , then  $S(A, B)$  can be reduced by Lemma 2.7.

If  $u = p$ , then  $S(A, B) = 0$ , so that  $S(A, B)$  can be reduced by Lemma 2.4.

From above we may assume that  $0 < u \leq p - 1$  and assume that

$$c(A^*) \cap c(B^*) = \{x_{g_1, h_1}, \cdots, x_{g_u, h_u}\}.$$

After rearranging the  $p$  rows and  $p$  columns, respectively, we may further assume that

$$A = [i_1 \cdots i_{p-u} g_1 \cdots g_u | j_1 \cdots j_{p-u} h_1 \cdots h_u]$$

and

$$B = [g_1 \cdots g_u k_1 \cdots k_{p-u} | h_1 \cdots h_u l_1 \cdots l_{p-u}],$$

where  $i_1 < \cdots < i_{p-u}$ ,  $j_1 < \cdots < j_{p-u}$ ,  $g_1 < \cdots < g_u$  and  $h_1 < \cdots < h_u$ .

Let  $E$  be the determinant of the following  $(2p-u) \times (2p-u)$  matrix

$$M = \begin{pmatrix} x_{i_1, j_1} & \cdots & x_{i_1, j_{p-u}} & x_{i_1, h_1} & \cdots & x_{i_1, h_u} & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{i_{p-u}, j_1} & \cdots & x_{i_{p-u}, j_{p-u}} & x_{i_{p-u}, h_1} & \cdots & x_{i_{p-u}, h_u} & 0 & \cdots & 0 \\ x_{g_1, j_1} & \cdots & x_{g_1, j_{p-u}} & x_{g_1, h_1} & \cdots & x_{g_1, h_u} & x_{g_1, l_1} & \cdots & x_{g_1, l_{p-u}} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{g_u, j_1} & \cdots & x_{g_u, j_{p-u}} & x_{g_u, h_1} & \cdots & x_{g_u, h_u} & x_{g_u, l_1} & \cdots & x_{g_u, l_{p-u}} \\ x_{k_1, j_1} & \cdots & x_{k_1, j_{p-u}} & x_{k_1, h_1} & \cdots & x_{k_1, h_u} & x_{k_1, l_1} & \cdots & x_{k_1, l_{p-u}} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{k_{p-u}, j_1} & \cdots & x_{k_{p-u}, j_{p-u}} & x_{k_{p-u}, h_1} & \cdots & x_{k_{p-u}, h_u} & x_{k_{p-u}, l_1} & \cdots & x_{k_{p-u}, l_{p-u}} \end{pmatrix}.$$

Let

$$S = \{i_1, \dots, i_{p-u}, g_1, \dots, g_u, k_1, \dots, k_{p-u}\}$$

and

$$T = \{j_1, \dots, j_{p-u}, h_1, \dots, h_u, l_1, \dots, l_{p-u}\}.$$

Then

$$\begin{aligned} E &= \sum_{e_i \in T - \{l_1, \dots, l_{p-u}\}} \pm [i_1 \cdots i_{p-u} | e_1 \cdots e_{p-u}] [S - \{i_1, \dots, i_{p-u}\} | T - \{e_1, \dots, e_{p-u}\}] \\ &= \sum_{e'_i \in S - \{i_1, \dots, i_{p-u}\}} \pm [e'_1 \cdots e'_{p-u} | l_1 \cdots l_{p-u}] [S - \{e'_1, \dots, e'_{p-u}\} | T - \{l_1, \dots, l_{p-u}\}]. \end{aligned}$$

Let

$$A_{\underline{e}} = [S - \{i_1, \dots, i_{p-u}\} | T - \{e_1, \dots, e_{p-u}\}],$$

$$B_{\underline{e}'} = [S - \{e'_1, \dots, e'_{p-u}\} | T - \{l_1, \dots, l_{p-u}\}],$$

$$C_{\underline{e}} = [i_1 \cdots i_{p-u} | e_1 \cdots e_{p-u}],$$

$$D_{\underline{e}'} = [e'_1 \cdots e'_{p-u} | l_1 \cdots l_{p-u}],$$

$$A_0 = [i_1 \cdots i_{p-u} | j_1 \cdots j_{p-u}],$$

$$B_0 = [k_1 \cdots k_{p-u} | l_1 \cdots l_{p-u}],$$

where  $\underline{e} = \{e_1, \dots, e_{p-u}\}$  and  $\underline{e}' = \{e'_1, \dots, e'_{p-u}\}$ .

Then

$$B_0 A - A_0 B = \sum_{\substack{e_i \in T - \{l_1, \dots, l_{p-u}\} \\ \underline{e} \neq \{j_1, \dots, j_{p-u}\}}} \pm C_{\underline{e}} A_{\underline{e}} + \sum_{\substack{e'_i \in S - \{i_1, \dots, i_{p-u}\} \\ \underline{e}' \neq \{k_1, \dots, k_{p-u}\}}} \pm D_{\underline{e}'} B_{\underline{e}'},$$

so that

$$\begin{aligned} S(A, B) &= B_0^* A - A_0^* B \\ &= \sum_{\substack{e_i \in T - \{l_1, \dots, l_{p-u}\} \\ \underline{e} \neq \{j_1, \dots, j_{p-u}\}}} \pm C_{\underline{e}} A_{\underline{e}} + \sum_{\substack{e'_i \in S - \{i_1, \dots, i_{p-u}\} \\ \underline{e}' \neq \{k_1, \dots, k_{p-u}\}}} \pm D_{\underline{e}'} B_{\underline{e}'} \\ &\quad + (A_0 - A_0^*) B - (B_0 - B_0^*) A. \end{aligned}$$

As before, we first show that  $A_{\underline{e}}, B_{\underline{e}'} \in G(X)$ .  $A_{\underline{e}}, B_{\underline{e}'} \in G(X)$  as it is clear that every entry  $x_{ij}$  in the matrix  $M$  can not satisfy both  $i \leq a$  and  $j \geq b$ .

Let  $L = LCM(A^*, B^*)$ . Therefore, by Lemma 2.4, to show that  $S(A, B)$  can be reduced, it is sufficient to show that  $C_{\underline{e}}^* A_{\underline{e}}^* < L$ ,  $D_{\underline{e}'}^* B_{\underline{e}'}^* < L$ ,  $(A_0 - A_0^*)^* B^* < L$  and  $(B_0 - B_0^*)^* A^* < L$ .

Let

$$N_1 = \begin{pmatrix} x_{i_1, j_1} & \cdots & x_{i_1, j_{p-u}} & x_{i_1, h_1} & \cdots & x_{i_1, h_u} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{i_{p-u}, j_1} & \cdots & x_{i_{p-u}, j_{p-u}} & x_{i_{p-u}, h_1} & \cdots & x_{i_{p-u}, h_u} \\ x_{g_1, j_1} & \cdots & x_{g_1, j_{p-u}} & x_{g_1, h_1} & \cdots & x_{g_1, h_u} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{g_u, j_1} & \cdots & x_{g_u, j_{p-u}} & x_{g_u, h_1} & \cdots & x_{g_u, h_u} \end{pmatrix}$$

and

$$N_2 = \begin{pmatrix} x_{g_1, h_1} & \cdots & x_{g_1, h_u} & x_{g_1, l_1} & \cdots & x_{g_1, l_{p-u}} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{g_u, h_1} & \cdots & x_{g_u, h_u} & x_{g_u, l_1} & \cdots & x_{g_u, l_{p-u}} \\ x_{k_1, h_1} & \cdots & x_{k_1, h_u} & x_{k_1, l_1} & \cdots & x_{k_1, l_{p-u}} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ x_{k_{p-u}, h_1} & \cdots & x_{k_{p-u}, h_u} & x_{k_{p-u}, l_1} & \cdots & x_{k_{p-u}, l_{p-u}} \end{pmatrix}.$$

Since for every entry  $x$  in  $N_1$  ( resp.  $N_2$ ) which is not in diagonal, there is a diagonal entry  $x'$  in  $N_1$ ( resp.  $N_2$ ) such that

$$x <_{(1,3)} x',$$

and for every  $s = 1, \dots, p-u$ ,  $t = 1, \dots, p-u$ , we have

$$x_{k_s, j_t} \leq_{(1,3,4)} x_{k_1, j_1} <_{(1,3)} \max\{x_{i_1, j_1}, x_{k_1, l_1}\}.$$

Hence, for every entry  $x$  in the matrix  $M$  which is not in diagonal, there is a diagonal entry  $x'$  in  $M$  such that

$$x <_{(1,3,4)} x'.$$

For all  $\underline{e} \neq \{j_1, \dots, j_{p-u}\}$  and  $\underline{e}' \neq \{k_1, \dots, k_{p-u}\}$ , it is obvious that

$$c(C_{\underline{e}}^* A_{\underline{e}}^*) \neq c(L) \quad \text{and} \quad c(D_{\underline{e}'}^* B_{\underline{e}'}^*) \neq c(L),$$

then we can conclude that

$$C_{\underline{e}}^* A_{\underline{e}}^* < L \quad \text{and} \quad D_{\underline{e}'}^* B_{\underline{e}'}^* < L.$$

Furthermore, if  $m = n$ , we have

$$C_{\underline{e}}^* A_{\underline{e}}^* <_{\pi} L \quad \text{and} \quad D_{\underline{e}'}^* B_{\underline{e}'}^* <_{\pi} L.$$

Finally, it is clear that

$$(A_0 - A_0^*)^* B^* < A_0^* B^* = L$$

and

$$(B_0 - B_0^*)^* A^* < B_0^* A^* = L.$$

Also, if  $m = n$ , we have

$$(A_0 - A_0^*)^* B^* <_{\pi} A_0^* B^* = L$$

and

$$(B_0 - B_0^*)^* A^* <_{\pi} B_0^* A^* = L.$$

Therefore  $S(A, B)$  can be reduced with respect to  $G_p$ .  $\square$

As in the proof of Theorem 3.2, we can deduce the following result from Lemma 5.1.

**Corollary 5.2** *Let  $Y = (y_{ij})$  be a generic  $n \times n$  symmetric matrix over a field  $K$ , and let  $R = K[Y]$ . Let  $a \leq n$ ,  $b \leq n$  be nonnegative integers and*

$p \leq \min\{a, b\}$ , a positive integer. Let  $D(Y)$  be the part of the matrix  $Y$  consisting of the last  $a$  rows and the first  $b$  columns. Let  $G(Y)$  be the set of all  $p$ -minors of  $D(Y)$ . Let  $I_Y$  be the ideal of  $R$  generated by the  $G(Y)$ ; then  $G(Y)$  is a Gröbner basis for  $I_Y$  with respect to the lexicographic term order induced from the variable order

$$y_{11} > y_{12} > \cdots > y_{1n} > y_{22} > \cdots > y_{2n} > \cdots > y_{nn}.$$