



## 2 Preliminary

**Definition 2.1** Let  $R = K[x_1, \dots, x_n]$  be a polynomial ring over a field  $K$ . If  $f \in R$ , then  $f^*$  is the leading term of  $f$  with respect to some lexicographical order  $\tau$  on  $R$ . If  $I$  is an ideal, then  $I^*$  is the ideal of  $R$  generated by the set  $\{f^* | f \in I\}$ .

**Definition 2.2** Let  $I$  be an ideal of a ring  $R = K[x_1, \dots, x_n]$ . A subset  $G = \{g_1, \dots, g_n\}$  of  $I$  is called a Gröbner basis of  $I$  with respect to some lexicographical order  $\tau$  if  $I^* = (g_1^*, \dots, g_n^*)$ .

In order to check whether a set of polynomials is a Gröbner basis, we introduce the notion of  $S$ -polynomials.

**Definition 2.3** Let  $R = K[x_1, \dots, x_n]$  be a polynomial ring over a field. If  $A$  and  $B$  are two polynomials of  $R$ , then the  $S$ -polynomial of  $A$  and  $B$ , denoted by  $S(A, B)$ , is

$$\frac{q}{A^*} \cdot A - \frac{q}{B^*} \cdot B,$$

where  $q$  is the least common multiple of  $A^*$  and  $B^*$ .

**Lemma 2.4** ([7]) Let  $G$  be a subset of homogeneous polynomials of  $R$ . Then the following are equivalent for  $G$ :

- (1)  $G$  is a Gröbner basis.
- (2) For every  $A, B \in G$ , either  $S(A, B) = 0$  or there are forms  $f_1, \dots$ , and  $C_1, \dots$  in  $G$  such that

(a)  $S(A, B) = \sum_{i \geq 1} f_i C_i$ , and

(b)  $f_i^* C_i^* < LCM(A^*, B^*)$  for every  $i$ .

(3) If there are monomials  $f_1, \dots, f_n$  and elements  $A_1, \dots, A_n$  in  $G$  such that the leading monomials of  $\{f_1 A_1, \dots, f_n A_n\}$  are the same but the leading monomial of  $\sum f_i A_i$  is smaller than the one of  $f_1 A_1$ , then there are forms  $g_1, \dots$ , and  $C_1, \dots \in G$  such that

(a)  $\sum f_i A_i = \sum g_i C_i$ , and

(b)  $g_i^* C_i^* < f_1 A_1^*$ .

**Proof .** (1) $\Rightarrow$  (2) is obvious.

(2) $\Rightarrow$  (3) Let  $q$  be the LCM of the leading monomials of  $A_1$  and  $A_2$ . Since the leading monomials of  $f_1 A_1$  and  $f_2 A_2$  are the same,  $f_1 A_1$  and  $f_2 A_2$  are both divisible by  $q$ , therefore there are units  $\alpha, \beta \in K$  and a monomial  $g$  such that  $\alpha f_1 A_1 - \beta f_2 A_2 = g S(A_1, A_2)$ . By (2), there are forms  $g_1, \dots$ , and  $C_1, \dots \in G$  such that  $S(A_1, A_2) = \sum g_i C_i$  and  $g_i^* C_i^* < q$ . So,

$$\sum f_i A_i = (1 + \alpha^{-1} \beta) f_2 A_2 + \sum_{i \geq 3} f_i A_i + \alpha^{-1} \sum g g_i C_i$$

and  $g g_i^* C_i^* < f_1 A_1^*$ . Now, the assertion follows by induction.

(3) $\Rightarrow$  (1) Let  $I$  be the ideal of  $R$  generated by  $G$  and let  $P \in I$ . Then there are forms  $f_i$  and elements  $A_i \in G$  such that  $P$  can be expressed as  $\sum_{i=1}^t f_i A_i$  with  $f_1^* A_1^* \geq f_2^* A_2^* \geq \dots$ . We may ask that such an expression is the smallest in the sense that if  $P = \sum_{i=1}^l b_i B_i$  for some forms  $g_i$  and elements  $B_i \in G$  such that  $g_1^* B_1^* \geq g_2^* B_2^* \geq \dots$ , then  $f_1^* A_1^* \leq g_1^* B_1^*$ . Since we can always

find the smallest expression, we assume that  $P = \sum f_i A_i$  is the smallest one. However, this implies that  $P^* = f_i^* A_i^*$ . For, if not, there is an integer  $n$  such that the leading monomials of  $\{f_1^* A_1, \dots, f_n^* A_n\}$  are the same but the leading monomial of  $\sum_{i=1}^n f_i^* A_i$  is smaller than the one of  $f_1^* A_1$ , so that by (3),  $P$  has an expression that is smaller than the expression  $\sum f_i A_i$ , which is impossible. Thus,  $P^*$  is indeed divisible by  $A_1^*$ . This shows (1).  $\square$

Let  $G$  be a subset of homogeneous polynomials of  $R$  and  $A, B$  be two polynomials in  $G$ . We shall say that  $S(A, B)$  can be "reduced" with respect to  $G$  if  $A, B$  satisfy (2) of Lemma 2.4.

Let  $K$  be a field. Let  $X_{m,n} = (x_{ij})$  be a generic  $m \times n$  matrix over  $K$  and  $Y = (y_{ij})$  be a generic  $n \times n$  symmetric matrix over  $K$ . Let  $\tau_X$  (resp.  $\tau_Y$ ) be the lexicographical term order on  $K[X_{m,n}]$  (resp.  $K[Y]$ ) induced by the variable order

$$x_{11} > x_{12} > \dots > x_{1n} > x_{21} > \dots > x_{m1} > \dots > x_{mn}.$$

$$(\text{resp. } y_{11} > y_{12} > \dots > y_{1n} > y_{22} > \dots > y_{2n} > \dots > y_{nn}.)$$

Let  $f^*$  be the leading term of  $f$  with respect to  $\tau_X$  (resp.  $\tau_Y$ ) if  $f$  is an element of  $X_{m,n}$  (resp.  $Y$ ). When  $m = n$ , we let  $\pi : K[X_{n,n}] \longrightarrow K[Y]$  be the canonical ring epimorphism by sending  $x_{ij}$  to  $y_{ij}$ . Notice that if  $f$  and  $g$  are two monomials of  $X_{m,n}$  with  $f > g$ , it is not necessary that  $\pi(f) > \pi(g)$ .

For convenience, we use the abbreviation notations for the rest of the paper :

Denote  $R$  to be either  $K[X_{n,n}]$  or  $K[Y]$ .

- (1). For a monomial  $f \in R$ ,  $c(f)$  is the set of all variables in  $f$ .
- (2). For a monomial  $f \in R$ ,  $l(f)$  is the largest variable in  $c(f)$ , and  $s(f)$  is the smallest variable in  $c(f)$ .
- (3). Let  $x_{ij}, x_{kl}$  be two variables in  $X_{m,n}$  with  $x_{ij} > x_{kl}$ . We write  $x_{ij} >_1 x_{kl}$  if  $i = k$  and  $j < l$ ,  $x_{ij} >_2 x_{kl}$  if  $i < k$  and  $j > l$ ,  $x_{ij} >_3 x_{kl}$  if  $i < k$  and  $j = l$ ,  $x_{ij} >_4 x_{kl}$  if  $i < k$  and  $j < l$ . Also, we write  $x_{ij} >_{(r,s)} x_{kl}$  if  $x_{ij} >_r x_{kl}$  or  $x_{ij} >_s x_{kl}$ . Similarly, we write  $x_{ij} >_{(r,s,t)} x_{kl}$  if  $x_{ij} >_r x_{kl}$  or  $x_{ij} >_s x_{kl}$  or  $x_{ij} >_t x_{kl}$ .
- (4). Let  $x_{ij}, x_{kl}$  be two variables in  $X_{m,n}$ . We write  $x_{ij} \geq_r x_{kl}$  if  $x_{ij} = x_{kl}$  or  $x_{ij} >_r x_{kl}$ . Also, we write  $x_{ij} \geq_{(r,s)} x_{kl}$  if  $x_{ij} = x_{kl}$  or  $x_{ij} >_{(r,s)} x_{kl}$ . Similarly, we write  $x_{ij} \geq_{(r,s,t)} x_{kl}$  if  $x_{ij} = x_{kl}$  or  $x_{ij} >_{(r,s,t)} x_{kl}$ .
- (5). Let  $f$  and  $g$  be two monomials of  $X_{m,n}$ . We write  $f >_\pi g$  if  $\pi(f) > \pi(g)$ .

**Definition 2.5** *The determinant of a submatrix of  $X$  is called a minor of  $X$ . Let  $p$  be a positive integer, the minor corresponding to the submatrix of  $X$  with rows  $i_1, \dots, i_p$  and columns  $j_1, \dots, j_p$  is denoted by  $[i_1 i_2 \dots i_p | j_1 j_2 \dots j_p]_X$ , or  $[i_1 i_2 \dots i_p | j_1 j_2 \dots j_p]$  if there is no ambiguity, which called a  $p$ -minor of  $X$ .*

**Remark 2.6** (1). *A minor  $[i_1 i_2 \dots i_p | j_1 j_2 \dots j_p]$  of  $Y$  is called a doset minor in the sense of [4] if  $i_1 \leq j_1, \dots, i_p \leq j_p$ . From [4],  $\bar{G}_p$  is the set of the doset  $p$ -minors of  $Y$ . Therefore, there is a one to one correspondence between the set  $\{A \in G_p | c(A^*) \subseteq X'\}$  and  $\bar{G}_p$ , where  $X' = \{x_{ij} | i \leq j\}$  is a subset of  $X_{n,n}$ .*

(2). *If  $x_1$  and  $x_2$  are two variables in  $X_{n,n}$  with  $x_1 >_i x_2$ , where  $i = 1, 3, 4$ ,*

then  $\pi(x_1) > \pi(x_2)$ .

(3). Let  $f$  and  $g$  be two monomials of  $K[X_{n,n}]$ . If for every  $x \in c(f)$  there is a variable  $x' \in c(g)$  such that  $x >_i x'$  where  $i = 1, 3$  or  $4$ , then  $f >_\pi g$ .

**Lemma 2.7** ([7]) Let  $G$  be a set of minors of  $X_{m,n}$  or a set of minors of  $Y$ , and let  $A, B \in G$ . If  $|c(A^*) \cap c(B^*)| = \phi$ , then  $S(A, B)$  can be reduced with respect to  $\{A, B\}$ .

**Proof** . Since  $A$  and  $B$  are minors, there are monomials  $f_1 = A^* > f_2 > \dots$  and  $g_1 = B^* > g_2 > \dots$  such that  $A = f_1 + f_2 + \dots$  and  $B = g_1 + g_2 + \dots$ .

Therefore,

$$S(A, B) = g_1A - f_1B = \left(\sum_{i \geq 2} f_i\right)B - \left(\sum_{i \geq 2} g_i\right)A.$$

It follows that  $S(A, B)$  can be reduced with respect to  $\{A, B\}$ .  $\square$